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## DEFORMATIONS OF BATALIN–VILKOVISKY ALGEBRAS.

OLGA KRAVCHENKO

*Institut de Recherche Mathématique Avancée (UMR 7501)*  
*CNRS et Université Louis Pasteur*  
*7 rue René-Descartes*  
*67084 Strasbourg, France*  
*email ok@alum.mit.edu*

*To the memory of Stanisław Zakrzewski*

**Abstract.** We show that a graded commutative algebra  $A$  with any square zero odd differential operator is a natural generalization of a Batalin–Vilkovisky algebra. While such an operator of order 2 defines a Gerstenhaber (Lie) algebra structure on  $A$ , an operator of an order higher than 2 (Koszul–Akman definition) leads to the structure of a strongly homotopy Lie algebra ( $L_\infty$ -algebra) on  $A$ . This allows us to give a definition of a Batalin–Vilkovisky algebra up to homotopy. We also make a conjecture which is a generalization of the formality theorem of Kontsevich to the Batalin–Vilkovisky algebra level.

**1. Introduction.** Batalin–Vilkovisky algebras are graded commutative algebras with an extra structure given by a second order differential operator of square 0. The simplest example is the algebra of polyvector fields on a vector space  $\mathbb{R}^n$ . There is a second order square zero differential operator on this algebra, obtained as an operator dual to the de Rham differential on the algebra of differential forms [W]. Namely, if one chooses a volume form, one can pair differential forms to polyvector fields. This pairing lifts the de Rham differential to polyvector fields and gives a second order square 0 operator.

In this article, we consider the following generalization of the Batalin–Vilkovisky structure: we do not require that the operator be of the second order. The condition that this operator be a differential (of square 0) leads to the structure of  $L_\infty$  algebra [HS, GK, LS] (also called a Lie algebra up to homotopy or strong homotopy Lie algebra).

The notion of an algebra up to homotopy is a very useful tool in proving certain deep theorems (like formality theorem of Kontsevich [K]).

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The most important property of algebras up to homotopy is that all the higher homotopies vanish on their cohomology groups. Namely, let  $A$  be a  $\mathcal{P}$  algebra up to homotopy, with a differential  $d$ ; then the space of its cohomology  $H(A, d)$  is a  $\mathcal{P}$  algebra, where  $\mathcal{P}$  means either Lie, or associative, or commutative, or Poisson, or Gerstenhaber, etc.

We propose a definition of a *commutative strong homotopy Batalin–Vilkovisky algebra*. Its noncommutative version leads to a generalized formality conjecture.

**2. Batalin–Vilkovisky algebras (BV–algebras).** We work in the category of  $\mathbb{Z}$ –graded algebras:  $A = \bigoplus A_i$ . We denote the degree of a homogeneous element  $a$  by  $|a|$ .

DEFINITION 1. A map  $D : A \rightarrow A$  is of degree  $|D|$  if  $D : A_l \rightarrow A_{l+|D|}$  for each  $l$ .

The degree of an element  $a_1 \otimes \cdots \otimes a_k \in A^{\otimes k}$  is a sum of degrees  $\sum_{j=1}^k |a_j|$ .

Let  $\mu : A \otimes A \rightarrow A$  be a product on  $A$  (a priori noncommutative non-associative). Following Akman [A], from any map  $D : A \rightarrow A$  we can inductively define the following linear maps  $F_D^k : A^{\otimes k} \rightarrow A$ :

$$\begin{aligned} F_D^1(a) &= Da, \\ F_D^2(a_1, a_2) &= D\mu(a_1, a_2) - \mu(Da_1, a_2) - (-1)^{|a_1||D|}\mu(a_1, Da_2), \\ &\dots\dots \\ F_D^{n+1}(a_1, \dots, a_n, a_{n+1}) &= F_D^n(a_1, \dots, \mu(a_n, a_{n+1})) \\ &\quad - \mu(F_D^n(a_1, \dots, a_{n-1}, a_n), a_{n+1}) \\ &\quad - (-1)^{|a_n|(|a_1|+\dots+|a_{n-1}|+|D|)}\mu(a_n, F_D^n(a_1, \dots, a_{n-1}, a_{n+1})), \end{aligned} \tag{1}$$

DEFINITION 2. (Akman) A linear map  $D : A \rightarrow A$  is a differential operator of order not higher than  $k$  if  $F_D^{k+1} \equiv 0$ .

DEFINITION 3. A Batalin–Vilkovisky algebra (BV–algebra for short) is the following data  $(A, \delta)$ : an associative  $\mathbb{Z}$ –graded commutative algebra  $A$ , and an operator  $\delta$  of order 2, of degree  $-1$ , and of square 0.

DEFINITION 4. A Gerstenhaber algebra is a graded space  $A = \sum_i A_i$  with

- an associative graded commutative product of degree 1,  $\mu : A_i \otimes A_j \rightarrow A_{i+j+1}$ ,  $\mu(a \otimes b) = a \cdot b$ ;
- a graded Lie bracket of degree 0,  $l : A_i \wedge A_j \rightarrow A_{i+j}$ ,  $l(a \otimes b) = [a, b]$ ,
- such that the Lie adjoint action is an odd derivation with respect to the product:

$$[a, b \cdot c] = [a, b] \cdot c + (-1)^{|b||c|}[a, c] \cdot b.$$

LEMMA 1. Any BV–algebra  $(A, \delta)$  is a Gerstenhaber algebra with the Lie bracket given by  $F_\delta^2$  up to a sign:

$$[a_1, a_2] = (-1)^{|a_1|} F_\delta^2(a_1, a_2) = (-1)^{|a_1|} (\delta\mu(a_1, a_2) - \mu(\delta a_1, a_2) - (-1)^{|a_1|}\mu(a_1, \delta a_2)), \tag{2}$$

for  $a_1, a_2 \in A$ .

A Gerstenhaber algebra which is also a BV–algebra is called “exact” [KS], since the bracket then is given by a  $\delta$ –coboundary.

**Remark 1.** In the language of operads one can give another characterization of a Gerstenhaber algebra. A Gerstenhaber algebra is an algebra over the braid operad [G]. Then BV-algebras are algebras over the *cyclic* braid operad [GK]. In other words a Gerstenhaber algebra structure comes from a BV-operator if the corresponding operad is cyclic.

**3.  $L_\infty$ -algebras.** The brackets defined by the recursive formulas (1) have interesting relations. We need the notion of an  $L_\infty$ -algebra to describe them.

We view an  $L_\infty$ -algebra structure as a codifferential on the exterior coalgebra of a vector space [LM, P]. . This is a generalization of the point of view on graded Lie algebras taken in [R].

Let  $V$  be a graded vector space. Define the exterior coalgebra structure on  $\Lambda V$  by giving the coproduct on the exterior algebra  $\Delta : \Lambda V \rightarrow \Lambda V \otimes \Lambda V$ :

$$\begin{aligned} \Delta v &= 0 \\ \Delta(v_1 \wedge \cdots \wedge v_n) &= \sum_{k=1}^{n-1} \sum_{\sigma \in Sh(k, n-k)} (-1)^\sigma \epsilon(\sigma) v_{\sigma(1)} \wedge \cdots \wedge v_{\sigma(k)} \otimes v_{\sigma(k+1)} \wedge \cdots \wedge v_{\sigma(n)}, \end{aligned} \quad (3)$$

where  $Sh(k, n-k)$  are the unshuffles of type  $(k, n-k)$ , that is those permutations  $\sigma$  of  $n$  elements that  $\sigma(i) < \sigma(i+1)$  when  $i \neq k$ . The sign  $\epsilon(\sigma)$  is determined by the requirement that

$$v_1 \wedge \cdots \wedge v_n = (-1)^\sigma \epsilon(\sigma) v_{\sigma(1)} \wedge \cdots \wedge v_{\sigma(n)},$$

where  $(-1)^\sigma$  is the sign of the permutation  $\sigma$ . Consider the suspension of the space  $V$ ;  $sV = V[1]$ .

**DEFINITION 5.** An  $L_\infty$ -algebra structure on a graded vector space  $V$  is a codifferential  $Q$  on  $\Lambda(sV)$  of degree  $+1$ , that is a map  $Q : \Lambda(sV) \rightarrow \Lambda(sV)[1]$  such that

- $Q$  is a coderivation:  $\Delta \circ Q = (Q \otimes 1 + 1 \otimes Q) \circ \Delta$ ,
- $Q \circ Q = 0$ .

A coderivation  $Q_k$  is of  $k$ -th order if it is defined by a map  $Q_k : \Lambda^k(sV) \rightarrow sV$ . Then the coderivation property provides the extension of the action of  $Q_k$  on  $\Lambda^n(sV)$  for any  $n$ :

$$Q_k : \Lambda^n(sV) \rightarrow \Lambda^{n-k+1}(sV) \quad \text{for } n \geq k, \quad \text{and} \quad Q_k : \Lambda^n(sV) \rightarrow 0 \quad \text{otherwise.}$$

This way we can consider sums of coderivations of various orders and define

$$\begin{aligned} Q(v_1 \wedge \cdots \wedge v_n) &= \sum_{k=1}^n \sum_{\sigma \in Sh(k, n-k)} (-1)^\sigma \epsilon(\sigma) Q_k(v_{\sigma(1)} \wedge \cdots \wedge v_{\sigma(k)}) \wedge v_{\sigma(k+1)} \wedge \cdots \wedge v_{\sigma(n)}, \end{aligned}$$

where  $Q_k : \Lambda^k(sV) \rightarrow sV$  and  $Q = \sum_{k=1}^\infty Q_k$ . Then we can rewrite  $Q^2 = 0$  as a sequence of equations for each  $n$ :

$$\sum_{k=1}^n (-1)^{k(n-k)} \sum_{\sigma \in Sh(k, n-k)} (-1)^\sigma \epsilon(\sigma) Q_{n-k+1} \left( Q_k(v_{\sigma(1)} \wedge \cdots \wedge v_{\sigma(k)}) \wedge v_{\sigma(k+1)} \wedge \cdots \wedge v_{\sigma(n)} \right) = 0.$$

**Remark 2.** An  $L_\infty$ -algebra  $V$  has the following geometrical meaning. For each  $k : \Lambda^k(sV) = \text{Sym}^k V$ ,  $k$ -th symmetric power of the space  $V$ . If  $V$  is finite-dimensional, the symmetric powers of the space  $V$  are algebraic functions on the dual space  $V^*$ , which suggests that  $Q$  be a vector field on the dual space.  $Q_k$  then are Taylor coefficients of the odd vector field  $Q$ . Hence the map  $Q$  could be interpreted as an odd vector field of square 0. Such  $Q$  is called a homological vector field. The notion of a homological vector field appears in [V], in relation to the Gerstenhaber structure on the exterior algebra of an algebroid. A.S.Schwarz [Schw] calls supermanifolds with a homological vector field  $Q$ -manifolds.

**4. Deformations of Batalin–Vilkovisky algebras.** The brackets (1) are skew-symmetric when the product  $\mu$  is graded commutative. Hence they can be restricted to the exterior powers of  $A$  :

$$F_D^k : \Lambda^k A \rightarrow A.$$

We now extend each linear map  $F_D^k$  to a coderivation of  $\Lambda A$ . We are going to show that the sum of all these coderivations is of square zero.

We need just another notion related to the degree:

**DEFINITION 6.** A linear map  $D : A \rightarrow A$ , where  $A = \sum_i A_i$ , is a  $\mathbb{Z}$ -graded vector space, is called *odd* if  $D : A_i \rightarrow \sum_k A_{i+2k+1}$ ,  $k \in \mathbb{Z}$  for each  $i$ .

**PROPOSITION 2.**<sup>1</sup> Consider an odd operator  $D$  on a graded commutative algebra  $(A, \mu)$ . Then  $D^2 = 0$  if and only if the sum of brackets  $Q_D = \sum F_D^n$  is a codifferential on  $\Lambda A$  defining an  $L_\infty$ -structure, in other words  $\sum_{k+l=n+1} F_D^k \circ F_D^l = 0$  for each  $n \geq 1$ .

**Proof.**

The "if" direction is obvious — it is given by the first equation in the series of equations above:  $n = k = l = 1$ . The proof of the "only if" part is a tedious calculation.

For a graded commutative algebra, Akman's definition of the brackets (1) coincides with the definition of Koszul [Ko], which we reformulate in the following terms. Define a product on the exterior algebra  $M : A \wedge A \rightarrow A$  by  $M(a_1 \wedge a_2) = a_1 \cdot a_2$ . We can extend it to any exterior power  $M(a_1 \wedge \dots \wedge a_n) = a_1 \cdot \dots \cdot a_n$ . Then we can define an  $M$ -coproduct as a map  $\Lambda A \rightarrow A \otimes A : \Delta_M = (M \otimes M)\Delta$  :

$$\Delta_M(a_1 \wedge \dots \wedge a_n) = \sum_{k=1}^{n-1} \sum_{\sigma \in Sh(k, n-k)} (-1)^\sigma \epsilon(\sigma) a_{\sigma(1)} \cdot \dots \cdot a_{\sigma(k)} \otimes a_{\sigma(k+1)} \cdot \dots \cdot a_{\sigma(n)}.$$

Koszul's definition of multi-brackets is the following:

$$F_D^n(a_1 \wedge \dots \wedge a_n) = M(D \otimes 1)(a_1 \otimes 1 - 1 \otimes a_1) \cdot \dots \cdot (a_n \otimes 1 - 1 \otimes a_n).$$

It can be reformulated as

$$F_D^n(a_1 \wedge \dots \wedge a_n) = M(D \otimes 1)\Delta_M(a_1 \wedge \dots \wedge a_n). \quad (4)$$

Then the lemma states that

$$(M(D \otimes 1)\Delta_M) \left( (M(D \otimes 1)\Delta_M \otimes 1) \Delta \right) = 0$$

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<sup>1</sup>While finishing this article, I learned about the paper [BDA] which contains a result similar to this proposition. However, the aim and the language of [BDA] are somewhat different.

iff  $D^2 = 0$ . We see that, in the left hand side of this equation there are either summands containing  $D^2$  or summands which are present twice with opposite signs, due to the fact that the operator  $D$  is odd. ■

Notice that the brackets  $F_D^n$  form an  $L_\infty$  structure with homotopies with respect to the operator  $D$ , since the bracket  $F_D^2$  gives a Lie algebra structure on  $H(A, D)$ , the cohomology of  $A$  with respect to the operator  $D$ .

**Remark 3. Order and degree.** There is a filtration on the algebra of differential operators defined by their order. For the operator  $D$  however we would like to obtain an unambiguous splitting  $D = \sum_{n \geq 1} D_n$ , where  $D_n$  are homogeneous operators of  $n$ -th order. All we know is that for the first  $D_1$ ,  $F_{D_1}^n \equiv 0$  for  $n > 1$ . Then  $F_{D_2}^n \equiv 0$ ,  $n > 2$ , but  $F_{D_2}^2 \neq 0$ , but there is already an ambiguity for defining  $D_2$ .

To obtain the splitting into homogeneous operators we use the degree.

$D$  acts on a graded algebra, so  $D$  is a sum of operators of different degrees. It turns out that degree and order are in correspondence. It is natural to ask that the classical BV structure is a particular case of the generalized structure. Hence, we may start with the requirement that  $D_1$  is of order 1 and of degree +1, and  $D_2$  is of order 2 and of degree −1. This defines the grading: the operator  $D$  is unambiguously represented as a sum of homogeneous operators.

**Lemma 3.** *Consider an operator  $D : A \rightarrow A$ , such that  $D^2 = 0$  and assume that  $D$  is the sum of an operator of order 1 and of degree +1,  $D_1 : A_\bullet \rightarrow A_{\bullet+1}$  and higher order operators. Then  $D$  can be represented as a sum*

$$D = \sum_{n \geq 1} D_n$$

where each  $D_n$  is an operator of order  $n$  and of degree  $3 - 2n$ , (in other words:  $F_{D_n}^{n+1} \equiv 0$  and  $D_n : A_\bullet \rightarrow A_{\bullet+3-2n}$ .)

This lemma is an easy consequence of the condition  $D^2 = 0$ . Of course we can also weigh each operator of a certain degree by some corresponding power of  $\hbar$ .

**Remark 4. Differential BV-algebra.** If the operator  $D$  is of order  $n$  we see that the highest homotopy is given by the  $n$ -th bracket.

In particular, the second bracket

$$F_D^2(a, b) = D(ab) - Da \, b - (-1)^{|a|} a \, Db$$

gives a classical BV-bracket for the case when  $D_n = 0$  for  $n \geq 3$ . Then the operator  $D$  is of order 2, that is  $D = D_1 + D_2$ . Such a  $D$  describes the case of a differential BV-algebra which is the starting point of [BK], see also [M].

On the other hand, given a differential algebra  $(A, \mu, d)$  with additional second order differential operator  $\delta$  one can define a generalized BV-algebra by adding operators of higher order to  $d + \delta$ , requiring that their sum

$$D = D_1 + D_2 + D_3 + \dots$$

be of square 0, (here  $D_1 = d, D_2 = \delta$ ). Comparing with the differential BV-algebra case we see that there are still two differentials on the generalized algebra,  $D$  and  $D_1$  (the fact that  $D_1$  is a differential follows from  $D^2 = 0$ ). The following lemma is easy to prove.

LEMMA 4. *An operator on the algebra  $(A, \mu)$ ,  $D = \sum D_n$ , such that  $D^2 = 0$  is a derivation of the bracket  $[a, b] = (-1)^{|a|} F_D^2(a, b)$ , but not of the product  $\mu$ , while  $D_1$  is a derivation of the product but not of the bracket.*

REMARK 5. *Generalization to Leibniz algebras.* If we start with a non-commutative associative algebra structure, the brackets  $F_D^n(1)$  still make sense for a differential operator  $D$ , (Definition 2). However since there is no antisymmetry condition anymore, the homotopy structure we get from  $D^2 = 0$  is not  $L_\infty$ . Instead, one gets  $\text{Leib}_\infty$ -algebra  $([Li])$ , homotopy version of a Leibniz algebra  $([L])$ .

**5. Commutative  $BV_\infty$ -algebra.** We now propose a definition of a strong homotopy Batalin–Vilkovisky algebra ( $BV_\infty$ -algebra). Here we will restrict ourselves to the case of commutative algebras.

DEFINITION 7. *A triple  $(A, d, D)$  is a commutative  $BV_\infty$ -algebra when*

- *$A$  is a graded commutative algebra,*
- *$d : A \rightarrow A$  is a degree 1 differential of the algebra  $A$ ,*
- *$D : A \rightarrow A$  is an odd square zero differential operator, such that the degree of  $D - d$  is negative.*

There are various ways to define a  $BV_\infty$ -algebra. In our definition the commutative structure is preserved. One can imagine deforming the commutative structure as well. In Remark 5 we mentioned one of the generalizations, the one leading to the  $\text{Leib}_\infty$ -algebras. However, all definitions should lead to the following property — a  $BV_\infty$ -algebra should have a  $BV$ -algebra structure on its cohomology. Indeed in our case:

THEOREM 5. *The cohomology  $H(A, d)$  of a commutative  $BV_\infty$ -algebra  $(A, d, D)$  is a  $BV$ -algebra.*

PROOF. Consider the condition  $D^2 = 0$ . Since the degree of  $D - d$  is negative, it means that  $D$  is the sum of  $d$ , a derivation of degree +1, and of negative degree operators:  $D_2 + D_3 + \dots$ . From the fact that  $D^2 = 0$  follows that  $dD_2 + D_2d = 0$ , that is  $D_2$  acts on the cohomology  $H(A, d)$ . Moreover,  $D_2^2 = dD_3 + D_3d$ , which means that on the cohomology  $H(A, d)$ ,  $D_2^2 = 0$ . Since  $D_2$  is a second order operator, it defines the structure of a  $BV$ -algebra on the cohomology  $H(A, d)$ . ■

**6. Possible applications.** It would be interesting if we could extend the formality theorem of Kontsevich [K] to the quasi-isomorphism of  $BV_\infty$ -algebras.

The formality theorem of Kontsevich claims that two differential graded Lie algebras defined on any manifold  $M$ , the algebra of local Hochschild cochains and the algebra of polyvector fields, are quasi-isomorphic as  $L_\infty$ -algebras.

Let  $A$  denote the algebra of smooth functions on  $M$ ,  $A = C^\infty(M)$ , with the pointwise commutative product. Let  $D$  be the algebra of polydifferential operators on  $M$ :  $D = \oplus D^k$ ,  $D^k = \text{Hom}_{\text{loc}}(A^{\otimes k+1}, A)$ , and let  $T$  be the algebra of polyvector fields on  $M$ :  $T = \oplus T^k$ ,  $T^k = \Gamma(\Lambda^{k+1}TM)$ , both with the degree shifted by 1.

Then there are the following corresponding structures on these two algebras:

Graded space	Polyvector fields $T = \oplus T^\bullet = \oplus \Gamma(\Lambda^{\bullet+1} TM)$	Polydifferential Operators $D = \oplus D^\bullet = \oplus \text{Hom}_{\text{loc}}(\Lambda^{\bullet+1}, A)$
Differential	$d = 0$	Hochschild $B : D^\bullet \rightarrow D^{\bullet+1}$
Lie bracket	Schouten–Nijenhuis	Gerstenhaber
Product	$\wedge$ — exterior product	$\cup$ — cup product
BV-operator	$\delta$	??

One can check that  $T$  is in fact a Gerstenhaber algebra while  $D$  is a Gerstenhaber algebra up to homotopy, since the  $\cup$ -product on  $D$  is commutative only up to homotopy. However the Lie adjoint action on  $D$  is still an odd derivation with respect to the product.

Recently Dima Tamarkin [T] proved a generalization of Kontsevich’s formality theorem, he showed the existence of a morphism of Gerstenhaber algebras up to homotopy between  $T$  and  $D$ . In other words, the algebra of polydifferential operators is G-formal: the algebra of polydifferential operators and the algebra of polyvector fields are quasi-isomorphic as  $G_\infty$ -algebras (Gerstenhaber algebras up to homotopy).

We would like to see if one could prove the formality not only as  $G_\infty$ -algebras but as  $BV_\infty$ -algebras.

If the first Chern class of a manifold  $M$  is 0, then the algebra of polyvector fields on  $M$  is a BV-algebra. There is a one-to-one correspondence between BV-structures on a manifold  $M$  and flat connections on the determinant bundle (bundle of polyvector fields in the top degree:  $\Lambda^{\text{top}} TM$ ). Such a structure on real manifolds was studied in many papers [Ko, Xu, H, W], on Calabi–Yau manifolds one can refer to [Sch, BK]. We conjecture that in these cases there should be some  $BV_\infty$ -structure leading to the Gerstenhaber bracket on polydifferential operators.

**CONJECTURE 1.** *There is a structure of a  $BV_\infty$ -algebra on the space of polydifferential operators on a manifold with a zero first Chern class.*

**CONJECTURE 2.** *The  $BV_\infty$ -algebra of polydifferential operators on a manifold is formal: it is quasi-isomorphic as a  $BV_\infty$ -algebra to its cohomology, the BV-algebra of polyvector fields.*

For these conjectures we will need a more general definition than definition 7, since the cup product on the algebra of polydifferential operators is commutative only up to homotopy. This generalization should not pose a problem, it will be done in a subsequent article.

From the conjecture, would follow the Maurer–Cartan equation (MC-equations) for the BV operator on the algebra of polydifferential operators (probably tensored with some graded commutative algebra). Moreover, a quasi-isomorphism of  $BV_\infty$ -algebras would map solutions of the MC-equation on one algebra to solutions of the MC-equation on the other algebra.

We know from [BK] that formal moduli space of solutions to the MC-equation, modulo gauge invariance on polyvector fields tensored with the algebra of anti-holomorphic forms on a Calabi–Yau manifold carries a natural structure of Frobenius manifold. If a

quasi-isomorphism  $T \rightarrow D$  of BV-structures up to homotopy exists it would define a Frobenius manifold structure on the solutions of MC-equation modulo gauge invariance on polydifferential operators tensored with the algebra of anti-holomorphic forms.

Another instance where we could expect to find generalized BV-structures is in the theory of vertex operator algebras. There is a structure of a Batalin–Vilkovisky algebra on the cohomology of a vertex operator algebra (see [LZ], [PS]). It is natural to ask what structure exists on the vertex operator algebra itself. This shows the need for a suitable definition of a  $BV_\infty$ -structure. Besides it should fit into the general picture outlined by Stasheff [S].

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